

Reducing friction between clients and maintainers of software libraries

PhD Defense

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2:00 PM

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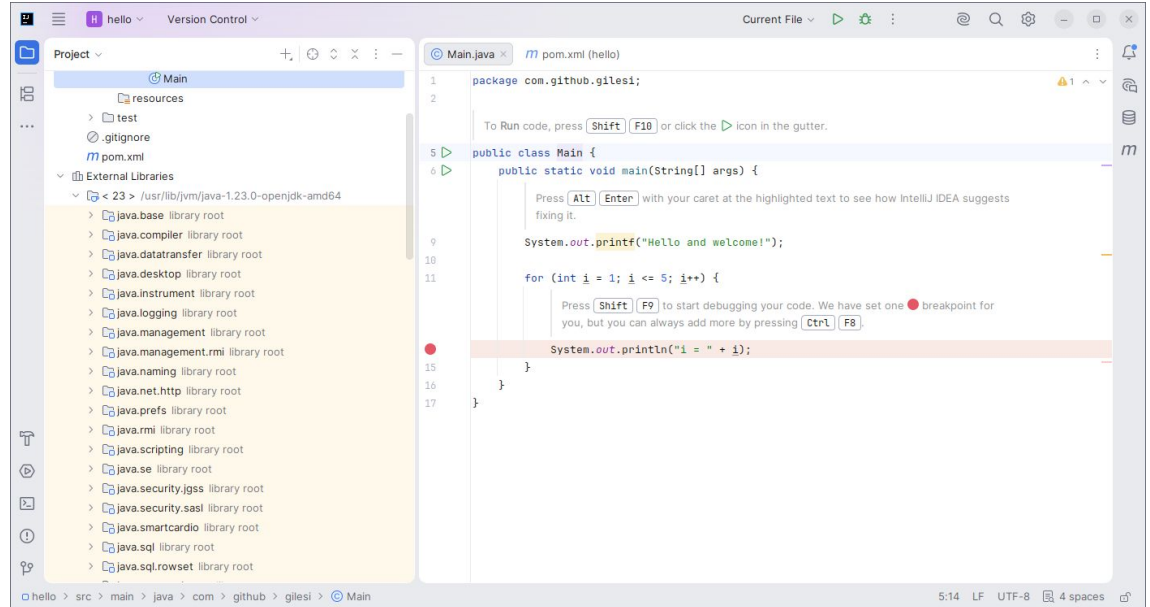
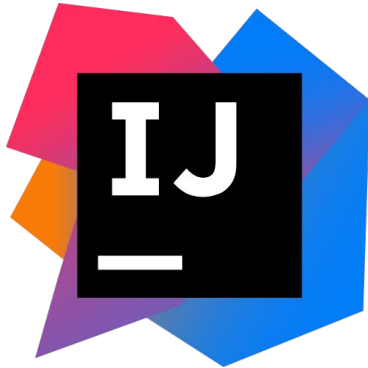
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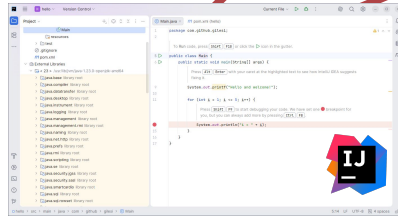
Software



Application

Software... Client

Application



commons-cli:commons-cli



commons-io:commons-io



com.google.code.gson:gson



org.apache.commons:commons-compress

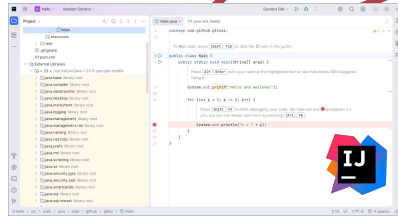


com.google.protobuf:protobuf-java

[...]

Software... Client

Application



commons-cli:commons-cli



commons-io:commons-io



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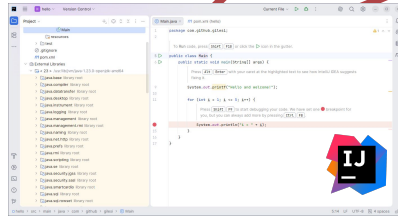


com.google.protobuf:protobuf-java

[...]

Software... Client

Application



commons-cli:commons-cli



commons-io:commons-io



com.google.code.gson:gson



org.apache.commons:commons-compress



com.google.protobuf:protobuf-java

[...]

Software Libraries



What is a software library?

```
ArrayList<Integer> lst = new ArrayList<Integer>();  
lst.add(42);  
lst.add(1337);
```

Client

Client Maintainers



```
public class ArrayList<E> implements List<E> {  
    public boolean add(E e) { ... }  
    private int size;  
    ...  
}
```

API

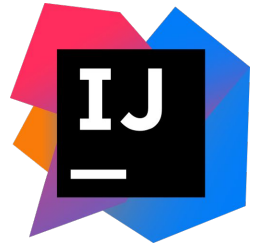


Library Maintainers

Consumption of Software Libraries

- Identifier: e.g. *com.google.code.gson:gson*
- Version: e.g. *1.19*
- Managed through a package manager: e.g. *Maven/Gradle*

```
<dependencies>
  <dependency>
    <groupId>junit</groupId>
    <artifactId>junit</artifactId>
    <version>4.13.2</version>
    <scope>test</scope>
  </dependency>
  <dependency>
    <groupId>com.github.gilesi.samples</groupId>
    <artifactId>samplelibrary</artifactId>
    <version>1.0.0-SNAPSHOT</version>
  </dependency>
  <dependency>
    <groupId>org.junit.jupiter</groupId>
    <artifactId>junit-jupiter-engine</artifactId>
    <version>5.11.0-M2</version>
    <scope>test</scope>
  </dependency>
</dependencies>
```



Application

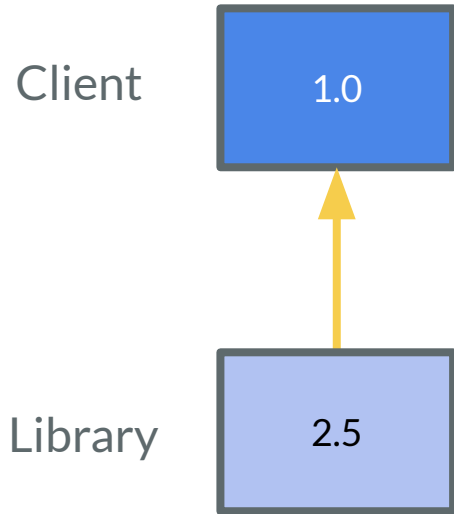


Dependency Declaration

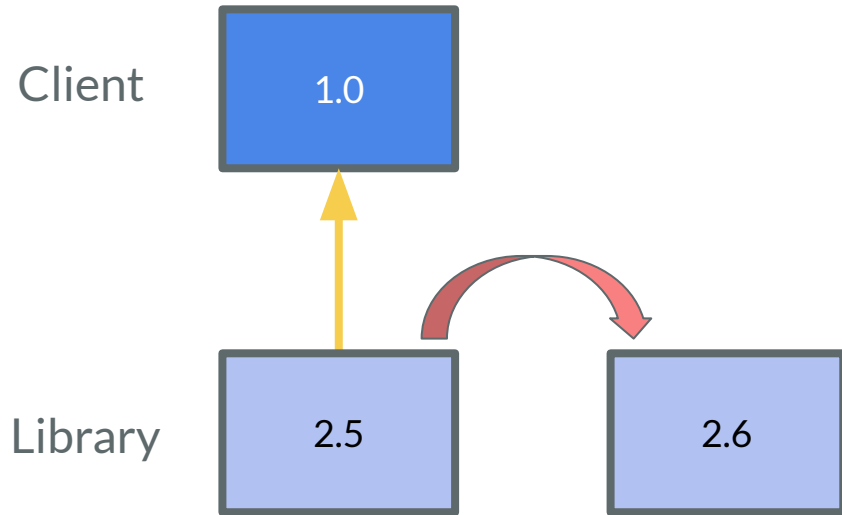


Package Repository (Online)

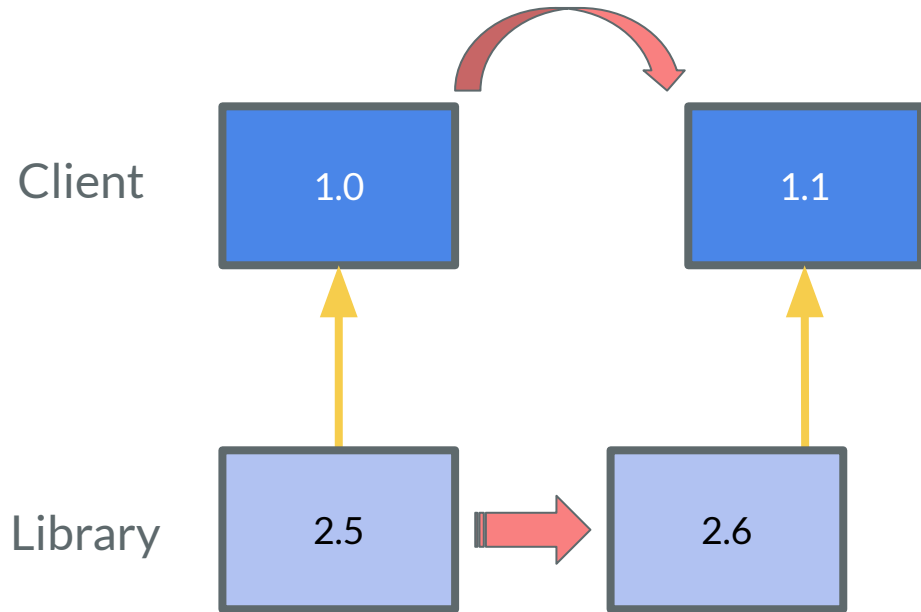
Co-evolution



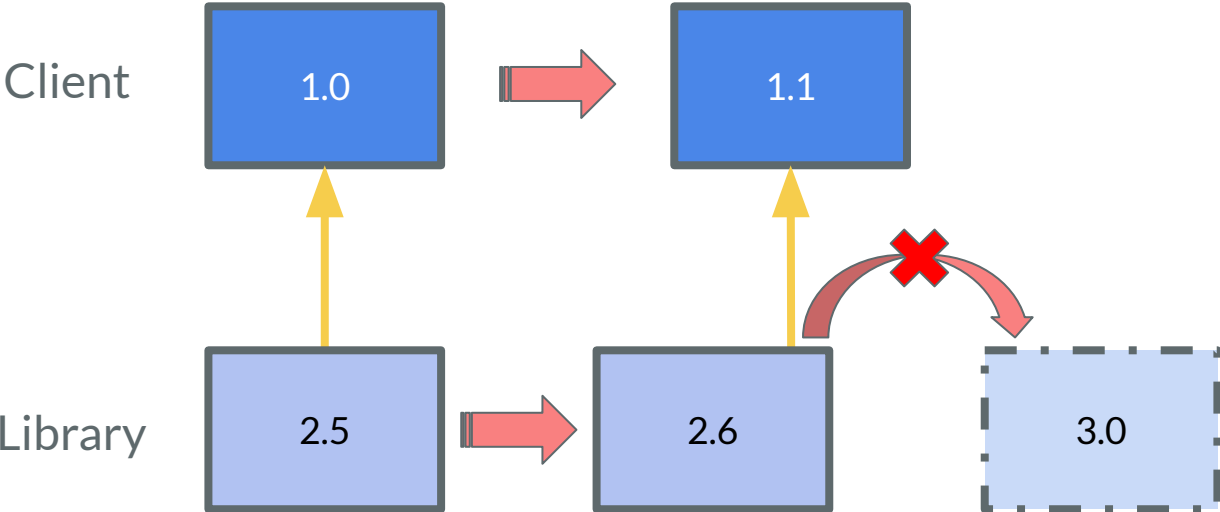
Co-evolution



Co-evolution



Problems linked with co-evolution



Problems linked with co-evolution: Library Maintainer Side

```
ArrayList<Integer> lst = new ArrayList<Integer>();  
lst.add(42);  
lst.add(1337);
```

Client

Library

```
public class ArrayList<E> implements List<E> {  
    public boolean add(E e) { ... }  
    public boolean addIfNotExist(E e) { ... }  
    private int size;  
    ...  
}
```

API

Problems linked with co-evolution: Library Maintainer Side

```
ArrayList<Integer> lst = new ArrayList<Integer>();  
lst.add(42);  
lst.add(1337);
```

Client 1

?

Client 2

Library

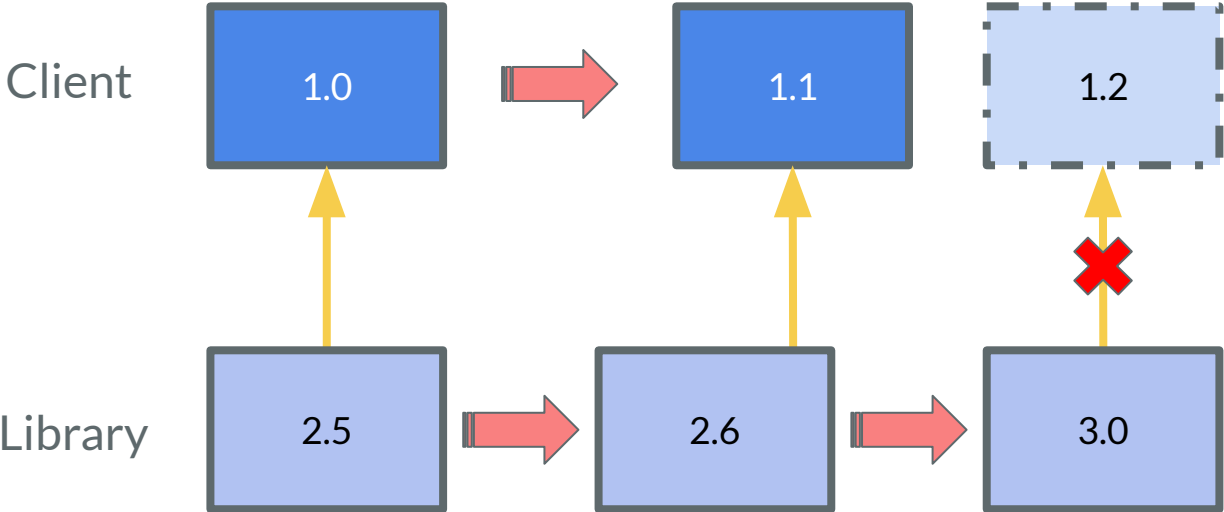
```
public class ArrayList<E> implements List<E> {  
    public boolean add(E e) { ... }  
    public boolean addIfNotExist(E e) { ... }  
    private int size;  
    ...  
}
```

?

API

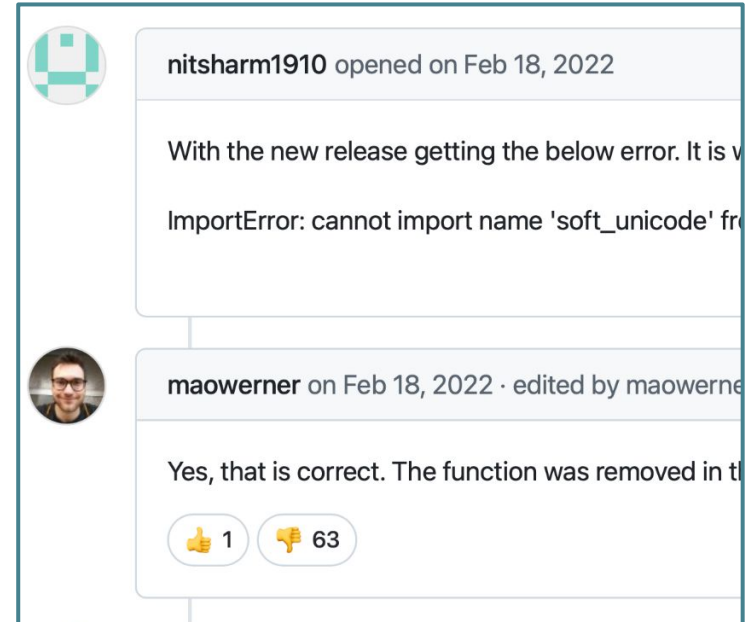
As a library maintainer, can I
safely evolve my API?

Problems linked with co-evolution



Problems linked with co-evolution: Client Maintainer Side

- Breaking Changes
 - Undocumented or Documented
 - Caught during migration or post mortem
 - Hard to debug/troubleshoot
 - Can possibly block migration of the client
 - Client prevented from getting fixes and enhancements

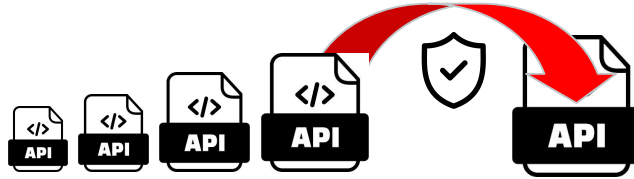


<https://github.com/pallets/markupsafe/issues/284>

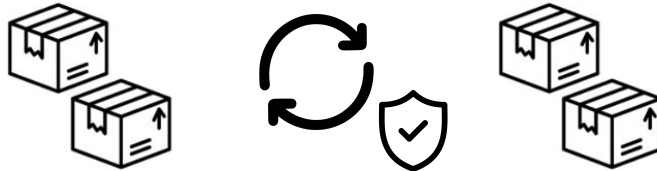
As a client maintainer, can I safely update my dependencies?

Problem statements

→ As a library maintainer, can I safely evolve my API?

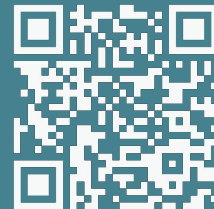


→ As a client maintainer, can I safely update my dependencies?



Lightweight Syntactic API Usage Analysis with UCov

Gustave Monce, Thomas
Couturou, Yasmine Hamdaoui,
Thomas Degueule, and
Jean-Rémy Falleri.



In Proceedings of the 32nd
IEEE/ACM International Conference
on Program Comprehension (ICPC
'24). Association for Computing
Machinery, New York, NY, USA,
426–437.

Application Programming Interface (API)

```
public class ArrayList<E> implements List<E> {  
    public ArrayList<E>() {  
        size = 0;  
        internalArray = new E[10000];  
    }  
  
    public boolean add(E e) {  
        internalArray[size++] = e;  
    }  
  
    private int size;  
    private E[] internalArray;  
    ...  
}
```

Application Programming Interface (API)

```
public class ArrayList<E> implements List<E> {  
    public ArrayList<E>() {  
        size = 0;  
        internalArray = new E[10000];  
    }  
  
    public boolean add(E e) {  
        internalArray[size++] = e;  
    }  
  
    private int size;  
    private E[] internalArray;  
    ...  
}
```

Application Programming Interface (API)

```
public class ArrayList<E> implements List<E> {  
    public ArrayList<E>() {  
        size = 0;  
        internalArray = new E[10000];  
    }  
  
    public boolean add(E e) {  
        internalArray[size++] = e;  
    }  
  
    private int size;  
    private E[] internalArray;  
    ...  
}
```

Application Programming Interface (API)

Symbol
<code>ArrayList<E></code>
<code>ArrayList<E>.ArrayList()</code>
<code>ArrayList<E>.add(E e)</code>

Library

```
public class ArrayList<E> implements List<E> {  
    public boolean add(E e) { ... }  
    public boolean addIfNotExist(E e) { ... }  
    private int size;  
    ...  
}
```

API

```
ArrayList<Integer> lst = new ArrayList<Integer>();  
lst.size = 3
```

Application Programming Interface (API)

```
public class MyArrayList<E> extends ArrayList<E> {  
    @Override  
    public boolean add(E e) { ... }  
}
```

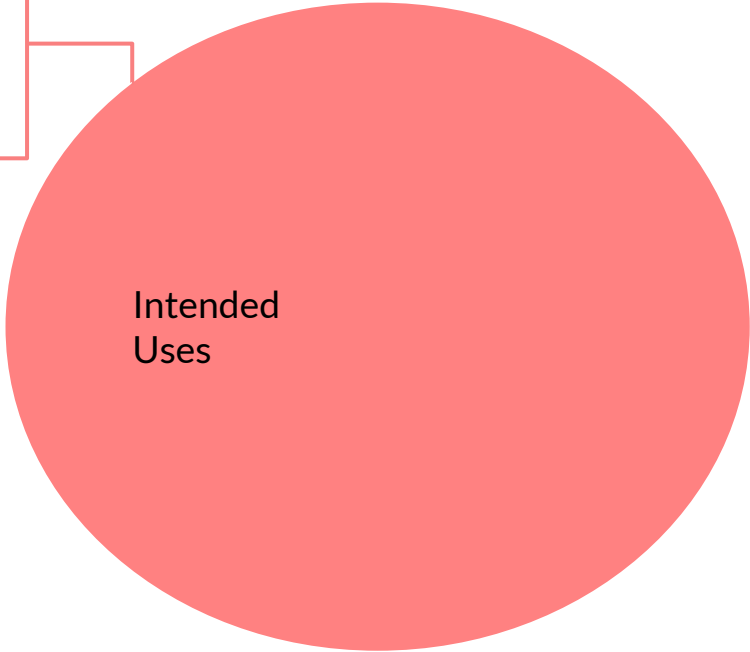
Library

```
public class ArrayList<E> implements List<E> {  
    public final boolean add(E e) { ... }  
    public boolean addIfNotExist(E e) { ... }  
    private int size;  
    ...  
}
```

API

API Design & Usage

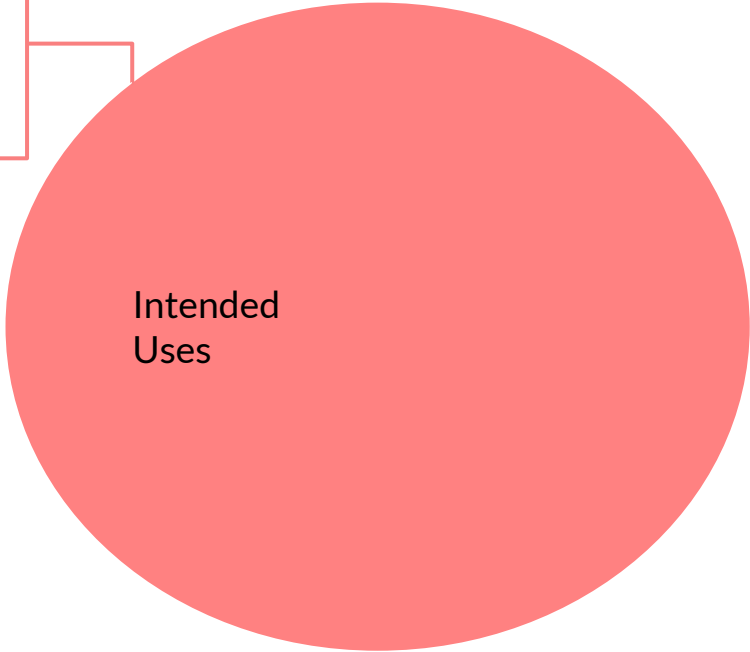
- `new ArrayList<Integer>();`
- `lst.add(0);`
- `lst.add(2);`
- `lst.add(6 * 2);`
- `lst.get(0);`



Intended
Uses

API Design & Usage

- `new ArrayList<Integer>();`
- `lst.add(0);`
- `lst.add(2);`
- `lst.add(6 * 2);`
- `lst.get(0);`



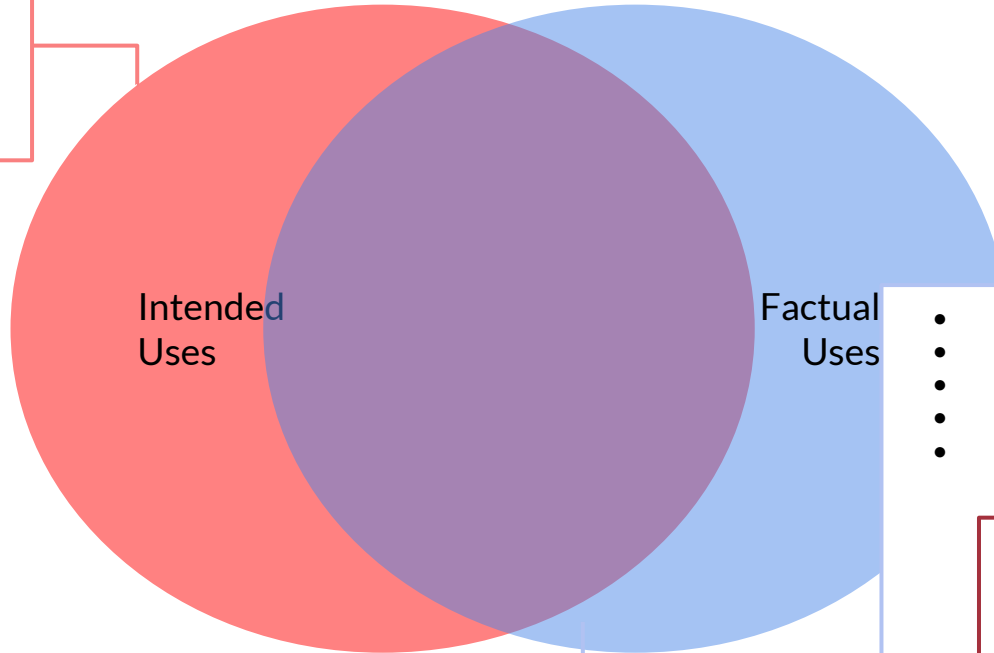
Intended
Uses

```
public class ArrayList<E> implements List<E> {  
    public boolean add(E e) { ... }  
    private int size;  
    ...  
}
```

API Design & Usage


```
public class ArrayList<E> implements List<E> {  
    public boolean add(E e) { ... }  
    private int size;  
    ...  
}
```

- `new ArrayList<Integer>();`
- `lst.add(0);`
- `lst.add(2);`
- `lst.add(6 * 2);`
- `lst.get(0);`



- `new ArrayList<Integer>();`
- `lst.add(0);`
- `lst.add(2);`
- `lst.add(6 * 2);`
- `lst.get(0);`

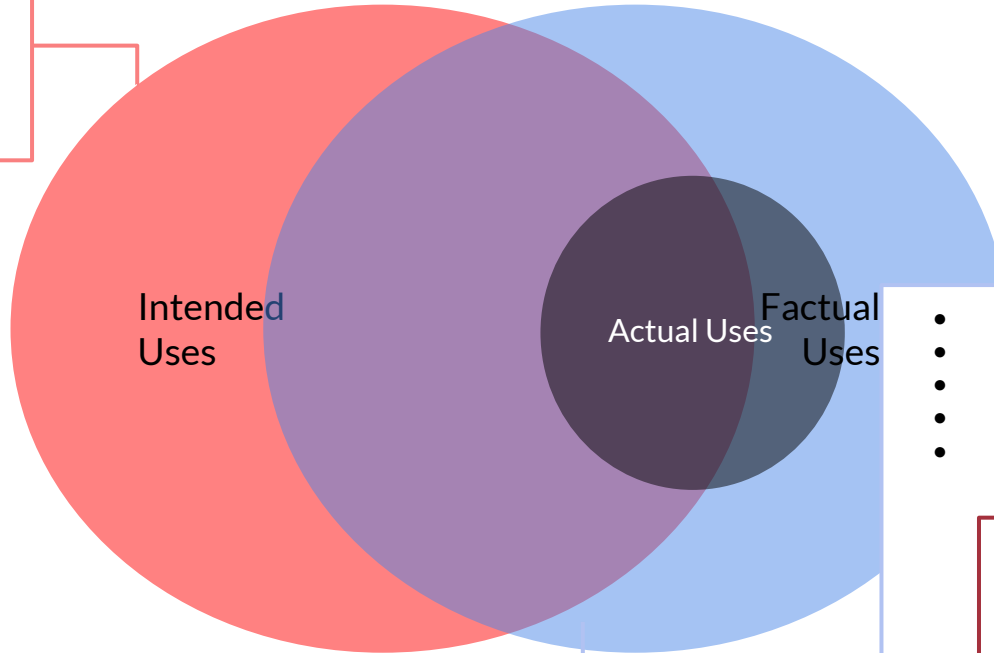
```
public class NewArrayList<E>  
    extends ArrayList<E> {  
    @Override { ... }  
}
```



API Design & Usage


```
public class ArrayList<E> implements List<E> {  
    public boolean add(E e) { ... }  
    private int size;  
    ...  
}
```

- `new ArrayList<Integer>();`
- `lst.add(0);`
- `lst.add(2);`
- `lst.add(6 * 2);`
- `lst.get(0);`



- `new ArrayList<Integer>();`
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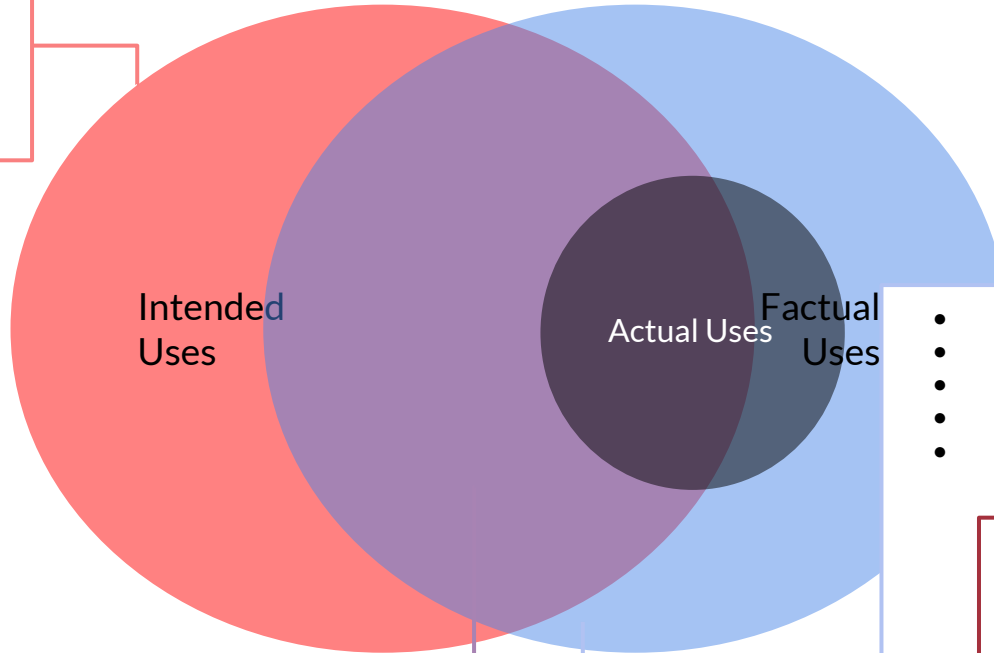
```
public class NewArrayList<E>  
    extends ArrayList<E> {  
    @Override { ... }  
}
```



API Design & Usage

```
public class ArrayList<E> implements List<E> {  
    public boolean add(E e) { ... }  
    private int size;  
    ...  
}
```

- `new ArrayList<Integer>();`
- `lst.add(0);`
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- `lst.get(0);`



- `new ArrayList<Integer>();`
- `lst.add(0);`
- `lst.add(2);`
- `lst.add(6 * 2);`
- `lst.get(0);`

Unused

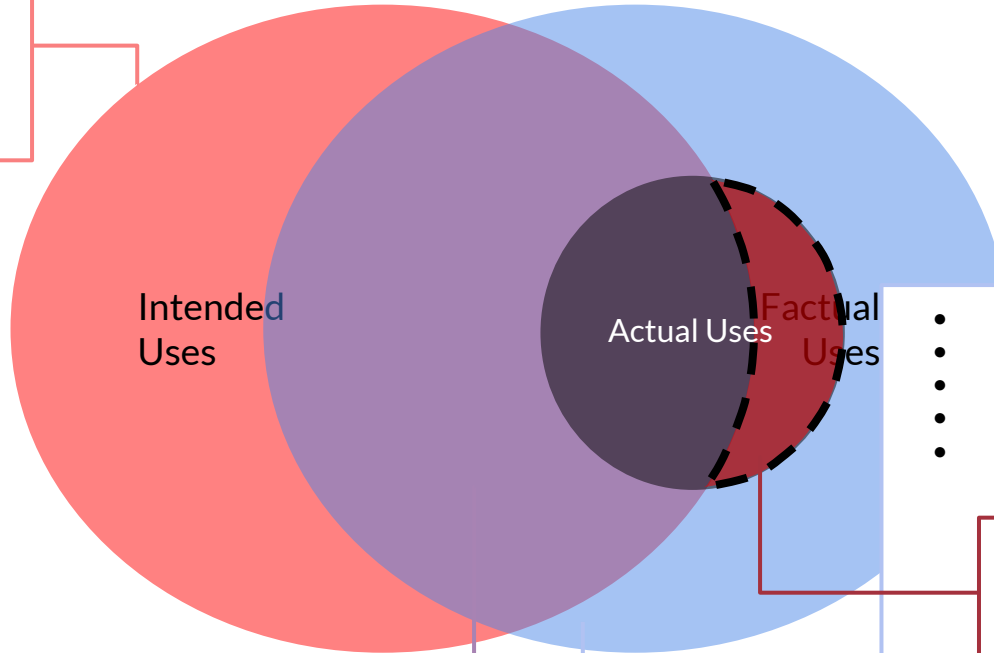
```
public class NewArrayList<E>  
    extends ArrayList<E> {  
    @Override { ... }  
}
```



API Design & Usage

```
public class ArrayList<E> implements List<E> {  
    public boolean add(E e) { ... }  
    private int size;  
    ...  
}
```

- `new ArrayList<Integer>();`
- `lst.add(0);`
- `lst.add(2);`
- `lst.add(6 * 2);`
- `lst.get(0);`



- `new ArrayList<Integer>();`
- `lst.add(0);`
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- `lst.get(0);`

Unused

```
public class NewArrayList<E>  
    extends ArrayList<E> {  
    @Override { ... }  
}
```



Software Library Consumers

```
public class ArrayList<E> implements List<E> {  
    public boolean add(E e) { ... }  
    private int size;  
    ...  
}
```

API

```
ArrayList<Integer> lst = new ArrayList<Integer>();  
lst.add(42);  
lst.add(1337);
```

Clients

Software Library Consumers

```
public class ArrayList<E> implements List<E> {  
    public boolean add(E e) { ... }  
    private int size;  
    ...  
}
```

API

```
ArrayList<Integer> lst = new ArrayList<Integer>();  
lst.add(42);  
lst.add(1337);
```

Clients

```
@Test  
public void testAdd() {  
    ArrayList<Integer> lst = new ArrayList<Integer>();  
    lst.add(42); assertEquals(42, lst.get(0));  
}
```

Tests

Software Library Consumers

```
public class ArrayList<E> implements List<E> {  
    public boolean add(E e) { ... }  
    private int size;  
    ...  
}
```

API

```
ArrayList<Integer> lst = new ArrayList<Integer>();  
lst.add(42);  
lst.add(1337);
```

Clients

Using the ArrayList library

Below's example showcases a simple program that creates a list, and adds and retrieves a few elements

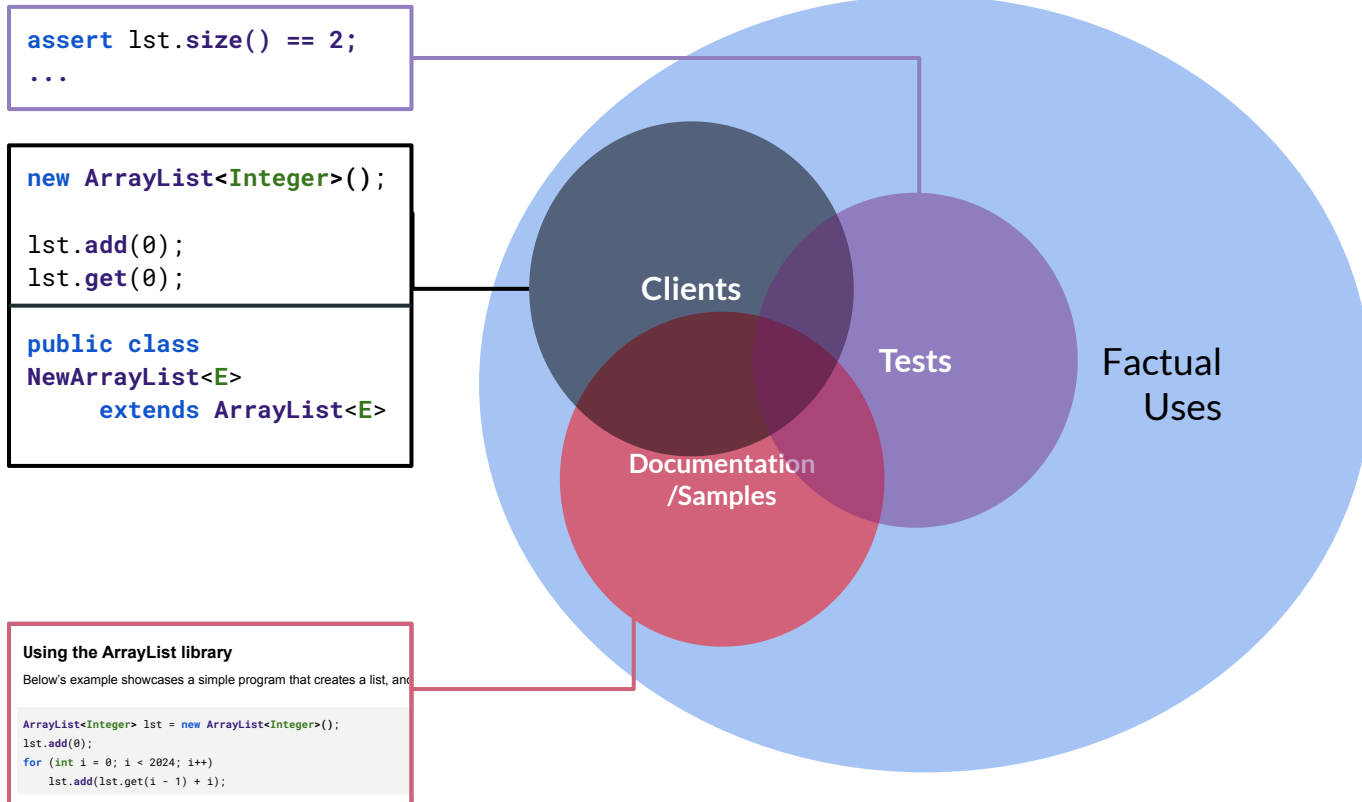
```
ArrayList<Integer> lst = new ArrayList<Integer>();  
lst.add(0);  
for (int i = 0; i < 2024; i++)  
    lst.add(lst.get(i - 1) + i);
```

Documentation/Samples

```
@Test  
public void testAdd() {  
    ArrayList<Integer> lst = new ArrayList<Integer>();  
    lst.add(42); assertEquals(42, lst.get(0));  
}
```

Tests

API Design & Usage



Symbol-based modeling of uses (Existing Work)

```
public class ArrayList<E> implements List<E>
{
    public boolean add(E e) { ... }

    private int size;

    ...
}
```

API

Symbol

ArrayList<E>

ArrayList<E>.ArrayList()

ArrayList<E>.add(E e)

Symbols

Symbol-based modeling of uses (Existing Work)

```
1 ArrayList<Integer> lst = new ArrayList<Integer>(); 2  
3 lst.add(42);  
3 lst.add(1337);
```

Symbol

```
1 ArrayList<E>  
2 ArrayList<E>.ArrayList()  
3 ArrayList<E>.add(E e)
```

Symbols



To identify notable hotspots and coldspots, i.e., parts of the APIs that are over- or under-utilized:

- Stylos, et al. "Improving API documentation using API usage information" (VLHCC09)
- Sawant, et al. "fine-GRAPe: fine-grained API usage extractor - an approach and dataset to investigate API usage" (ESE17)
- Thummalapenta, et al. "SpotWeb: Detecting Framework Hotspots and Coldspots via Mining Open Source Code on the Web" (ASE08)



To address the problem of mining and recommending unordered or sequential API usage patterns and protocols to users:

- Nguyen, et al. "FOCUS: A Recommender System for Mining API Function Calls and Usage Patterns" (ICSE19)
- Robillard, et al. "Automated API Property Inference Techniques" (TSE13)
- Zhong, et al. "MAPO: Mining and Recommending API Usage Patterns" (LNPSE09)
- Wang, et al. "Mining succinct and high-coverage API usage patterns from source code" (MSR13)
- Buse, et al. "Synthesizing API usage examples" (ICSE22)

Symbol-based modeling of uses (Existing Work)

```
1 ArrayList<Integer> lst = new ArrayList<Integer>(); 2  
3 lst.add(42);  
3 lst.add(1337);
```

```
public class MyArrayList<E> extends ArrayList<E> {  
    @Override  
    public boolean add(E e) { ... } 2  
}
```

Symbol	
1	ArrayList<E>
2	ArrayList<E>.ArrayList()
3	ArrayList<E>.add(E e)

Symbol	
1	ArrayList<E>
2	ArrayList<E>.add(E e)

Symbols

Symbols

Syntactic Usage Model (SUM) (Contribution)

```
public class ArrayList<E> implements List<E>
{
    public boolean add(E e) { ... }

    private int size;

    ...
}
```

API

Exported Symbol

```
public class ArrayList<E>
```

```
public ArrayList<E>()
```

```
public boolean add(E e)
```

Syntactic Usage Model (SUM) (Continuation)

New!

```
public class ArrayList<E> implements List<E>
{
    public boolean add(E e) { ... }

    private int size;

    ...
}
```

API

Exported Symbol	Interaction (Use)
public class ArrayList<E>	Referenced
	Instantiated
	Extended
public ArrayList<E>()	Invoked
public boolean add(E e)	Invoked
	Overridden

SUM

Definition of each symbol and allowed interactions

Syntactic Usage Footprint (SUF) (Contribution)

```
1 ArrayList<Integer> lst = new ArrayList<Integer>(); 2  
3 lst.add(42);  
3 lst.add(1337);
```

Symbol	Interaction
<code>public class ArrayList<E></code>	1 <i>Referenced</i>
	2 <i>Instantiated</i>
<code>public ArrayList<E>()</code>	<i>Invoked</i>
<code>public boolean add(E e)</code>	<i>Invoked</i>

SUF 1

Syntactic Usage Footprint (SUF) (Contribution)

```
1 ArrayList<Integer> lst = new ArrayList<Integer>(); 2  
3 lst.add(42);  
3 lst.add(1337);
```

```
public class MyArrayList<E> extends ArrayList<E> { 1  
    @Override  
    public boolean add(E e) { ... } 2  
}
```

Symbol	Interaction
1 public class ArrayList<E>	Referenced
2 public class ArrayList<E>	Instantiated
2 public ArrayList<E>()	Invoked
3 public boolean add(E e)	Invoked

SUF 1

Symbol	Interaction
public class ArrayList<E>	Extended 1
public boolean add(E e)	Overridden 2

SUF 2

```
public class ArrayList<E> implements List<E> {
    public boolean add(E e) { ... }
    private int size;
    ...
}
```

Symbol	Interaction
public class ArrayList<E>	<i>Referenced</i>
	<i>Instantiated</i>
	<i>Extended</i>
public ArrayList<E>()	<i>Invoked</i>
public boolean add(E e)	<i>Invoked</i>
	<i>Overridden</i>

Symbol

Interaction

public class ArrayList<E>	<i>Referenced</i>
	<i>Instantiated</i>
public ArrayList<E>()	<i>Invoked</i>
public boolean add(E e)	<i>Invoked</i>

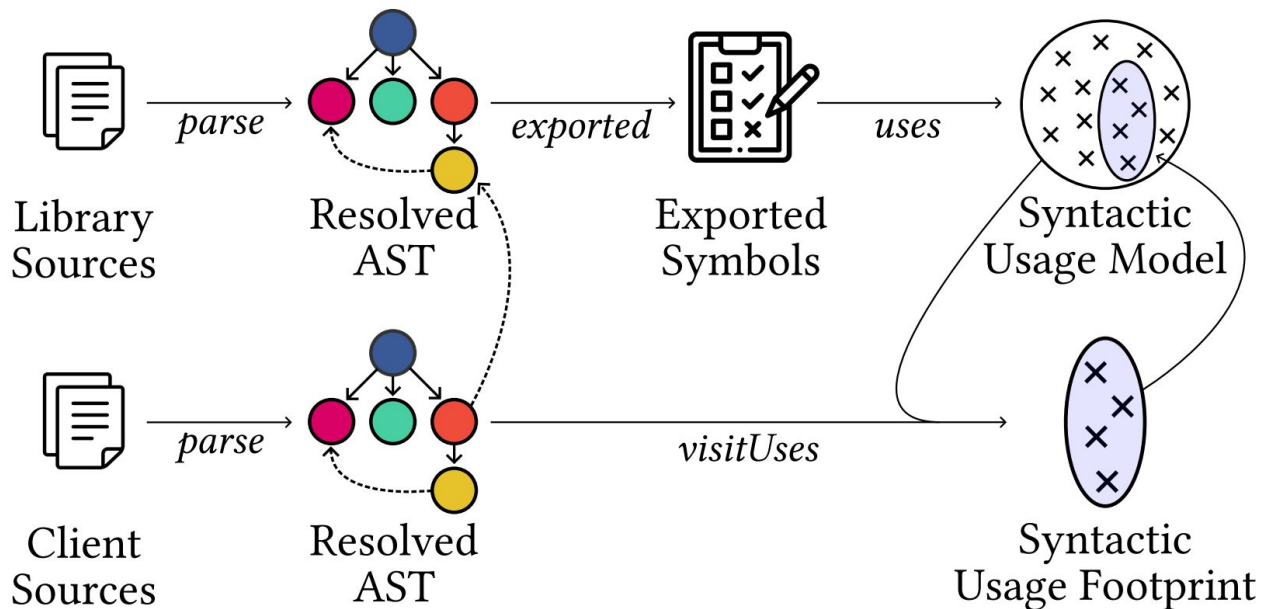
Symbol

Interaction

public class ArrayList<E>	<i>Extended</i>
public boolean add(E e)	<i>Overridden</i>

UCov: SUMs & SUFs for Java

github.com/
alien-tools/ucov



Usage model in UCov

Symbol Type	Use	Example	Resolved API Symbol
Type	Reference	String s	java.lang.String
		void f(Integer i)	java.lang.Integer
		Integer f()	java.lang.Integer
		void f()throws IOException	java.io.IOException
		catch (IOException e)	java.io.IOException
		List<String> l	java.lang.String
		etc.	etc.
Class	Instantiation	new Integer(42)	java.lang.Integer
	Inheritance	class T extends Thread	java.lang.Thread
Interface	Implementation	class R implements Runnable	java.lang.Runnable
	Extension	Runnable r = ()→{...}	java.lang.Runnable
		interface R extends Runnable	java.lang.Runnable
Constructor	Invocation	new Integer(42)	java.lang.Integer(int)
Method	Invocation	"a".length()	java.lang.String.length()
	Static invocation	String.valueOf(42)	java.lang.String.valueOf(int)
	Overriding	@Override void run()	java.lang.Thread.run()
		Runnable r = ()→{...}	java.lang.Runnable.run()
Field	Field read	Integer.MAX_VALUE	java.lang.Integer.MAX_VALUE
	Field write	Point.x = 2	java.awt.Point.x

Inspired and refined from the work of Qiu et al.



Dong Qiu, Bixin Li, and Hareton Leung. "Understanding the API usage in Java." Information and Software Technology, 73:81-100, May 2016. ISSN 09505849. doi:10.1016/j.infsof.2016.01.011.

Evaluation: Case Study

zenodo.org/records/
10571867



Classical

```
//commons.apache.org  
//proper/commons-cli/usage.html
```

```
CommandLineParser parser = new  
DefaultParser();  
Options options = new Options();  
options.addOption("a", "all",  
false, "do not hide entries");  
options.addOption("C", false,  
"list entries by columns");
```

commons-cli

Framework

```
//sparkjava.com  
//documentation#routes
```

```
get("/", (rq,rs) -> { ... });  
put("/", (rq,rs) -> { ... });  
post("/", (rq,rs) -> { ... });
```

sparkjava

Fluent

```
//jsoup.org/cookbook  
//input/load-document-from-url
```

```
Document doc = Jsoup  
.connect("http://example.com")  
.data("query", "Java")  
.userAgent("Mozilla")  
.cookie("auth", "token")  
.timeout(3000)  
.post();
```

jsoup

Evaluation: Methodology

1
SUM

Library

3
SUFs

Clients



Samples

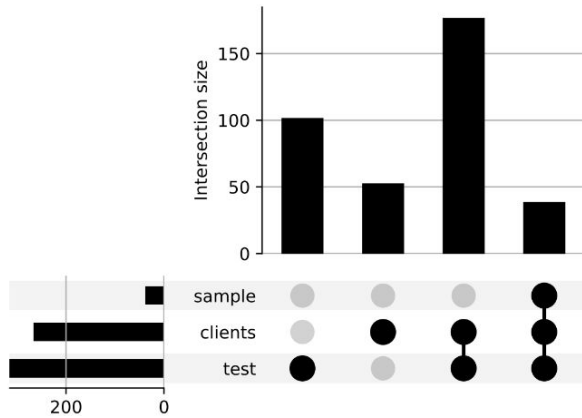


Tests

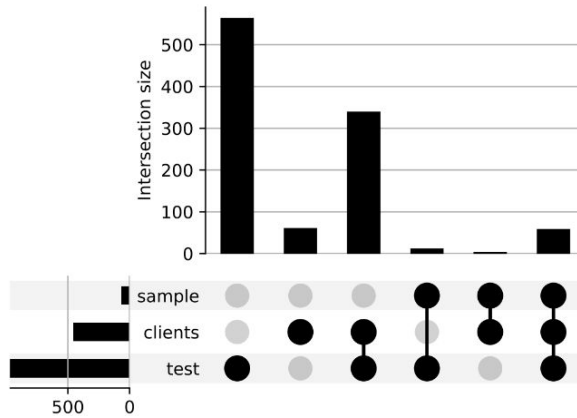
Results: Library & Syntactic Usage Model (SUM) metrics

	commons-cli	jsoup	spark
SUM - # API symbols	291	1138	771
SUM - # Legal interactions	755	2941	1960
Stars	309	10.4k	9.5k
Commits	1374	1889	1067
Size (LoC)	6307	27817	11298
Contributors	46	97	100
Clients	49k	131k	30k

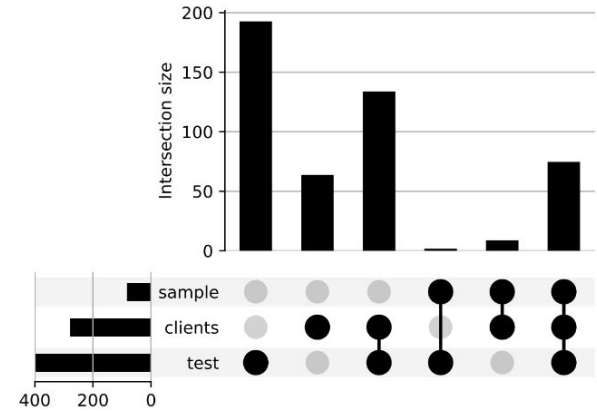
Results: Syntactic Usage Footprint (SUF) intersections



commons-cli

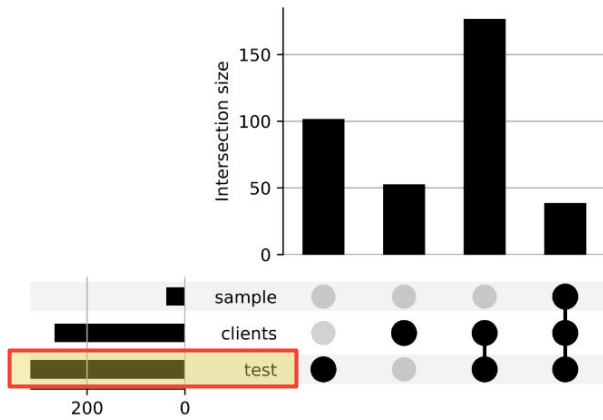


jsoup

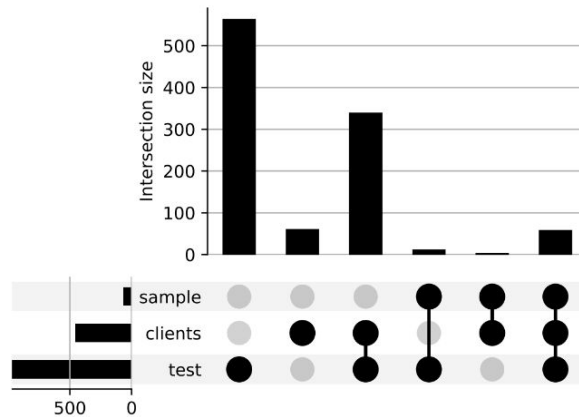


sparkjava

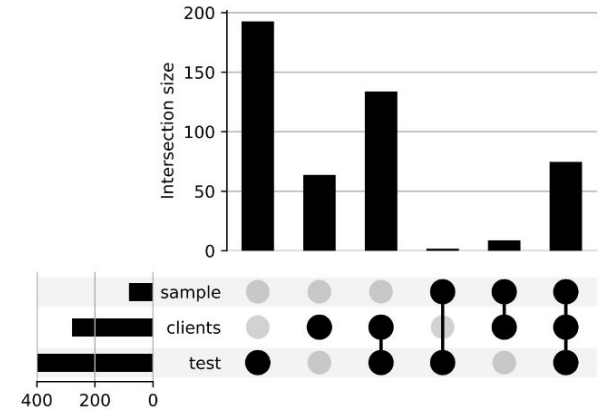
Results: Syntactic Usage Footprint (SUF) intersections



commons-cli

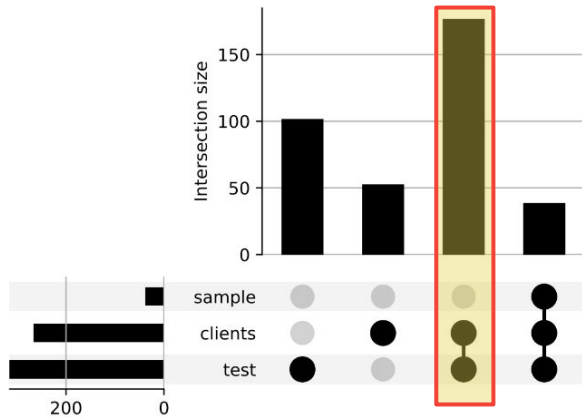


jsoup

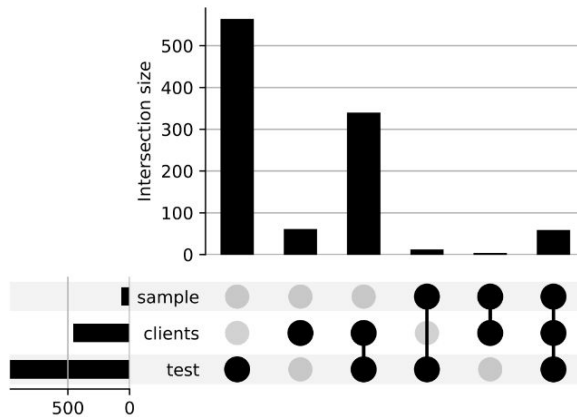


sparkjava

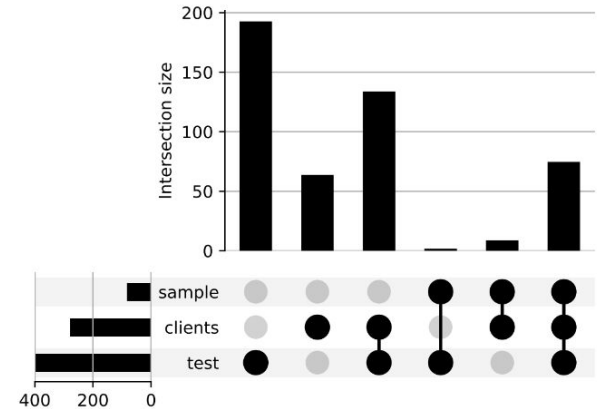
Results: Syntactic Usage Footprint (SUF) intersections



commons-cli

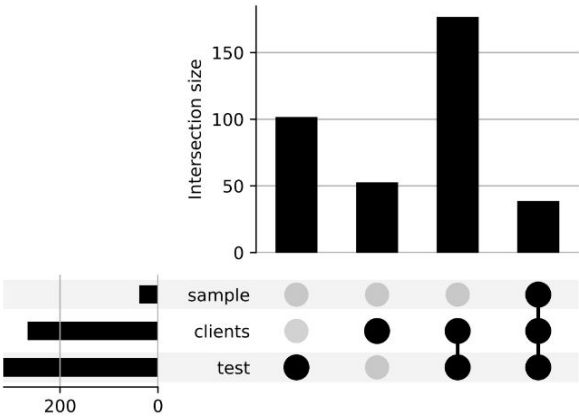


jsoup

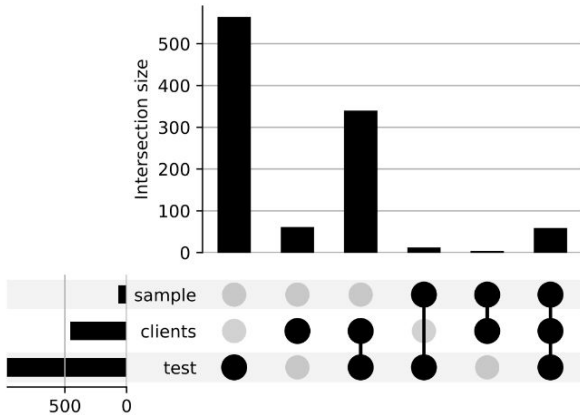


sparkjava

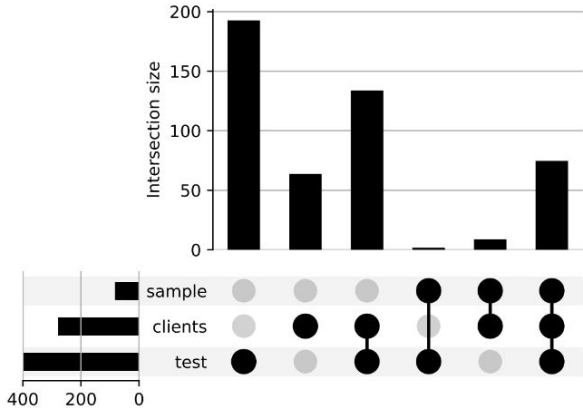
Results: Syntactic Usage Footprint (SUF) intersections



commons-cli



jsoup



sparkjava

Unexpected use in JSoup

Library

```
// src/main/java/org/jsoup/internal/StringUtil.java

/**
 * A minimal String utility class. Designed for <b>internal</b>
 * jsoup use only - the API and outcome may change without
 * notice.
 */
public final class StringUtil {
    ...
}
```

Sample (& Clients too!)

```
// src/main/java/org/jsoup/examples/HtmlToPlainText.java

package org.jsoup.examples;

public class HtmlToPlainText {
    ...
    private static class FormattingVisitor
        implements NodeVisitor {
        ...
        public void tail(Node node, int depth) {
            String name = node.nodeName();
            if (StringUtil.in(name, ...))
                append("\n");
            else if (name.equals("a"))
                append(String.format(" <%s>",
                    node.absUrl("href")));
        }
        ...
    }
}
```

Unexpected interaction in commons-cli

Library

```
// src/java/org/apache/commons/cli/Parser.java

public class PosixParser extends Parser {

    /**
     * @param stopAtNonOption specifies whether to stop interpreting
     * the arguments when a non option has been encountered and to add
     * them to the CommandLines args list.
     */
    public CommandLine parse(Options options, String[] arguments,
        boolean stopAtNonOption) throws ParseException { ... }
}

// java -jar ./main.jar -d -t

PosixParser p = new PosixParser();
Options o = new Options();
o.addOption("t", false, "display current time");
CommandLine c = p.parse(o, args, false);
```

Client

```
package org.obolibrary.robot;

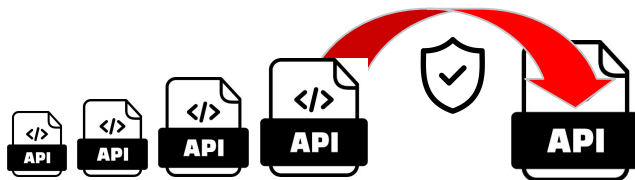
/**
 * A custom CommandLineParser that ignores
 * unrecognized options without throwing an
 * exception. See
 * http://stackoverflow.com/a/8613949
 */
public class ExtPosixParser extends PosixParser {
    ...
    @Override
    protected void processOption(final String arg,
        final ListIterator iter)
        throws ParseException { ... }
}
```

UCov

- Interaction-based modeling of uses
 - Helps understanding the current API fully
- Support for discussing API design
 - Helps drive changes on the current API
- Support for discussing alignment with in-the-wild uses
 - Helps understand actual consumer usages in the wild, spot unexpected interactions and highlight flaws in the current design, tests, or documentation

✓ As a library maintainer, can I safely evolve my API?

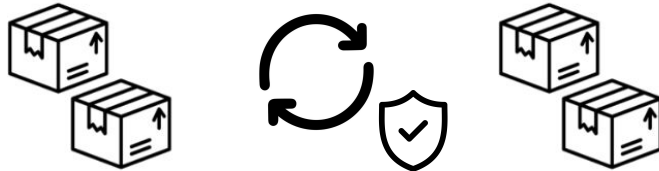
→ Lightweight Syntactic API Usage Analysis *with UCov*



Problem statements

- ✓ As a library maintainer, can I safely evolve my API?
 - Lightweight Syntactic API Usage Analysis *with UCov*

→ As a client maintainer, can I safely update my dependencies?



Client–Library Compatibility Testing with API Interaction Snapshots

Gustave Monce, Thomas
Degueule, Jean-Rémy Falleri,
Romain Robbes.



In Proceedings of the 41st IEEE
International Conference on
Software Maintenance and
Evolution, NIER Track (ICSME-NIER
2025). IEEE,
791-796.

Software Libraries

google / guava Public

Watch 2.5k Star 42.3k Fork 9.4k

Code Issues 640 Pull requests 77 Actions Projects Wiki Security Insights

master 14 branches 93 tags

Go to file Add file Code

eamonnmcmanus and Google Java Core Libraries ... cd3b419 2 days ago 5,625 commits

- .github Bump styfle/cancel-workflow-action from 0.9.0 to 0.9.1 last month
- android Add ImmutableMap.ofEntries . 2 days ago

```
guava/src/com/google/common/collect/TopKSelector.java
```

```
private void trim() {
    iterations++;
    if (iterations >= maxIterations) {
        // We've already taken O(k log k), let's make sure we don't take longer than O(k log k).
        Arrays.sort(buffer, left, right, comparator);
        Arrays.sort(buffer, left, right + 1, comparator);
        break;
    }
}
```

About

Google core libraries for Java

java guava

Readme Apache-2.0 License

Version	Repository	Usages	Date
30.1.1-jre	Central	2,839	Mar, 2021
30.1.1-android	Central	488	Mar, 2021
30.1-jre	Central	1,611	Dec, 2020
30.1-android	Central	424	Dec, 2020
30.0-jre	Central	1,490	Oct, 2020
30.0-android	Central	359	Oct, 2020
29.0-jre	Central	2,799	Apr, 2020
29.0-android	Central	382	Apr, 2020
28.2-jre	Central	1,785	Jan, 2020
28.2-android	Central	142	Jan, 2020
28.1-jre	Central	1,827	Aug, 2019
28.1-android	Central	80	Aug, 2019
28.0-jre	Central	1,482	Jun, 2019
28.0-android	Central	56	Jun, 2019
27.1-jre	Central	1,442	Mar, 2019



Repositories that depend on com.google.guava:guava Package: com.google.guava:guava

275,122 Repositories 0 Packages


- aws / amazon-documentdb-jdbc-driver ☆ 6 Fork 5
- kamilinw / Lift-managemennt ☆ 0 Fork 0
- chahrazeddb / OPTIMA ☆ 0 Fork 0
- ryanrousseau / AppBuilder-EKS ☆ 0 Fork 0

Library Upgrades

Bump org.revapi:revapi-java from 0.28.1 to 0.28.4 #58


 Open dependabot wants to merge 1 commit into `main` from `dependabot/maven/org.revapi-revapi-java-0.28.4` 

Conversation 0 Commits 1 Checks 5 Files changed 1

 **dependabot** (bot) commented on behalf of **github** on Aug 4 Contributor ...

Bumps `org.revapi:revapi-java` from 0.28.1 to 0.28.4.

► Commits



 compatibility 86%

Dependabot will resolve any conflicts with this PR as long as you don't alter it yourself. You can also trigger a rebase manually by commenting `@dependabot rebase`.

- Manually
- Using automated bots/tasks
 - *Can* seemingly report compatibility status
 - Relies on unit tests

Syntactic vs Behavioral Breaking Changes

Bump org.revapi:revapi-java from 0.28.1 to 0.28.4 #58

 Open dependabot wants to merge 1 commit into `main` from `dependabot/maven/org.revapi-revapi-java-0.28.4` 

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► Commits

 compatibility 86%

Dependabot will resolve any conflicts with this PR as long as you don't alter it yourself. You can also trigger a rebase manually by commenting `@dependabot rebase`.

Syntactic BCs



- Affect API signatures
- Trigger compile/link-time errors
- Extensive literature
- Can be detected statically with good accuracy (e.g., japicmp, RevApi, **Roseau**)





```
- public String fetch(String productId) {  
+ public Product fetch(String productId) {
```




Syntactic vs Behavioral Breaking Changes

Bump org.revapi:revapi-java from 0.28.1 to 0.28.4 #58

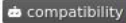
 Open dependabot wants to merge 1 commit into `main` from `dependabot/maven/org.revapi-revapi-java-0.28.4` 

 Conversation 0  Commits 1  Checks 5  Files changed 1

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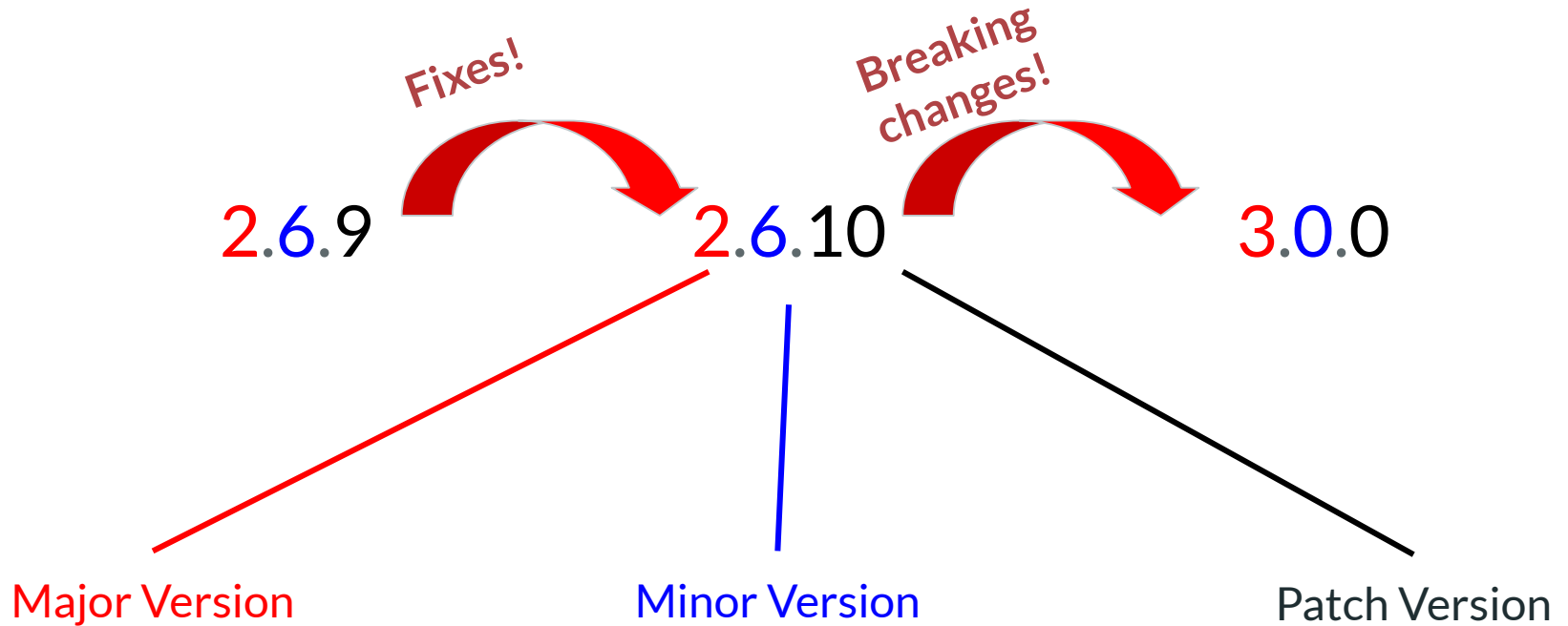
Behavioral BCs

- Undecidable
- Manifest as run-time errors, exceptions, etc.
- Hard to estimate impact on client code
- Very few approaches

- `return Arrays.sort(buf, left, right, comparator)`

+ `return Arrays.sort(buf, left, right + 1, comparator)`

Semantic Versioning



<https://semver.org/>

Ochoa, et al. "Breaking bad? Semantic versioning and impact of breaking changes in Maven Central: An external and differentiated replication study" (ESE'22)

Approach Overview

```
public static int[] sort(int[] a) {  
    return ...; // Returns sorted array with no dupes  
}
```

Library (v1)



```
public static int[] constructDataArray() {  
    int[] arr = new int[20] {7, 3, 3, 1};  
    return sort(arr);  
}
```

Client



```
@Test public static void test1() {  
    int[] arr = constructDataArray();  
    assertContainsInOrder([1, 3, 7], arr);  
}
```

Client Test

Approach Overview

```
public static int[] sort(int[] a) {  
    return ...; // Returns sorted array with no dupes  
}
```

Library (v1)

[7, 3, 3, 1]



[1, 3, 7]

```
public static int[] constructDataArray() {  
    int[] arr = new int[20] {7, 3, 3, 1};  
    return sort(arr);  
}
```

Client



[1, 3, 7]

```
@Test public static void test1() {  
    int[] arr = constructDataArray();  
    assertContainsInOrder([1, 3, 7], arr);  
}
```

Client Test

Approach Overview

Assertion result:

{1, 3, 7}



```
public static int[] sort(int[] a) {  
    return ...; // Returns sorted array with no dupes  
}
```

Library (v1)

[7, 3, 3, 1]



[1, 3, 7]

```
public static int[] constructDataArray() {  
    int[] arr = new int[20] {7, 3, 3, 1};  
    return sort(arr);  
}
```

Client



[1, 3, 7]

```
@Test public static void test1() {  
    int[] arr = constructDataArray();  
    assertContainsInOrder([1, 3, 7], arr);  
}
```

Client Test

Approach Overview

Assertion result:

{1, 3,
7}



```
public static int[] sort(int[] a) {  
    return ...; // Returns sorted array with dupes  
}
```

Library (v2)



```
public static int[] constructDataArray() {  
    int[] arr = new int[20] {7, 3, 3, 1};  
    return sort(arr);  
}
```

Client



```
@Test public static void test1() {  
    int[] arr = constructDataArray();  
    assertContainsInOrder([1, 3, 7], arr);  
}
```

Client Test

Approach Overview

Assertion result:

{1, 3, 7}



```
public static int[] sort(int[] a) {  
    return ...; // Returns sorted array with dupes  
}
```

Library (v2)

[7, 3, 3, 1]



[1, 3, 3, 7]

```
public static int[] constructDataArray() {  
    int[] arr = new int[20] {7, 3, 3, 1};  
    return sort(arr);  
}
```

Client



[1, 3, 3, 7]

```
@Test public static void test1() {  
    int[] arr = constructDataArray();  
    assertContainsInOrder([1, 3, 7], arr);  
}
```

Client Test

Approach Overview

Assertion result:



Weak...

```
public static int[] sort(int[] a) {  
    return ...; // Returns sorted array with dupes  
}
```

Library (v2)

[7, 3, 3, 1]



[1, 3, 3, 7]

```
public static int[] constructDataArray() {  
    int[] arr = new int[20] {7, 3, 3, 1};  
    return sort(arr);  
}
```

Client



[1, 3, 3, 7]

```
@Test public static void test1() {  
    int[] arr = constructDataArray();  
    assertContainsInOrder([1, 3, 7], arr);  
}
```

Client Test

Approach: Ideal test

Assertion result:



```
public static int[] sort(int[] a) {  
    return ...; // Returns sorted array with dupes  
}
```

Library (v2)

[7, 3, 3, 1]

[1, 3, 3, 7]



```
@Test public static void test2() {  
    int[] arr = new int[20] {7, 3, 3, 1};  
    assertEquals([1, 3, 7], sort(arr));  
}
```

Test (Sensitive)

Regression Testing

- Client tests cannot reliably detect Behavioral Breaking Changes[†]
 - Insufficient coverage
 - Weak assertions
 - Distance to fault
 - Lenient/exception-swallowing code
- Even when detected, the distance between the root cause and the failing assertions severely hurts diagnosis and remediation



[†] Jayasuriya et al.

[†] Hejderup et al.

[†] Gyori et al.

"Understanding the impact of APIs behavioral breaking changes on client applications"

"Can we trust tests to automate dependency updates? A case study of Java projects"

"Evaluating regression test selection opportunities in a very large open-source ecosystem"

(FSE'24)

(JSS'22)

(ISSRE'18)

New!

Existing approaches

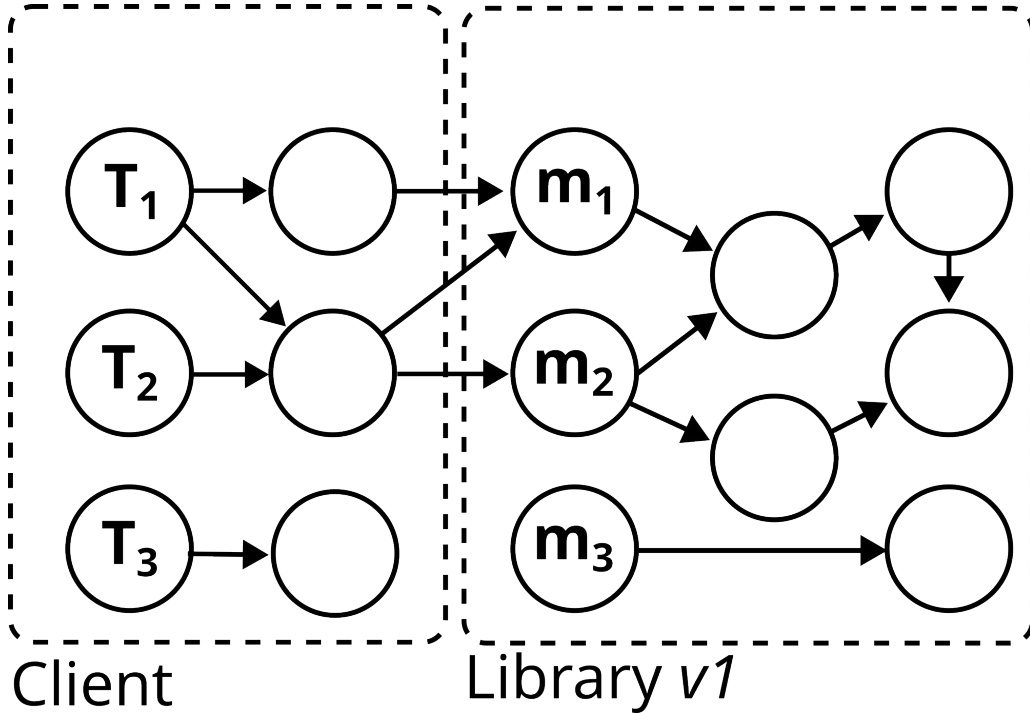
	DeBBI	SemBid	Uppdatera	CompCheck	Gilesi
Whole API	x	x			
Client usage subset			x	x	x
Client crowd-sourced knowledge	x			x	
Client specific		x	x		x
Dynamic change analysis		x			x
Static change analysis	x		x	x	

DeBBI:
SemBid:
Uppdatera:
CompCheck:

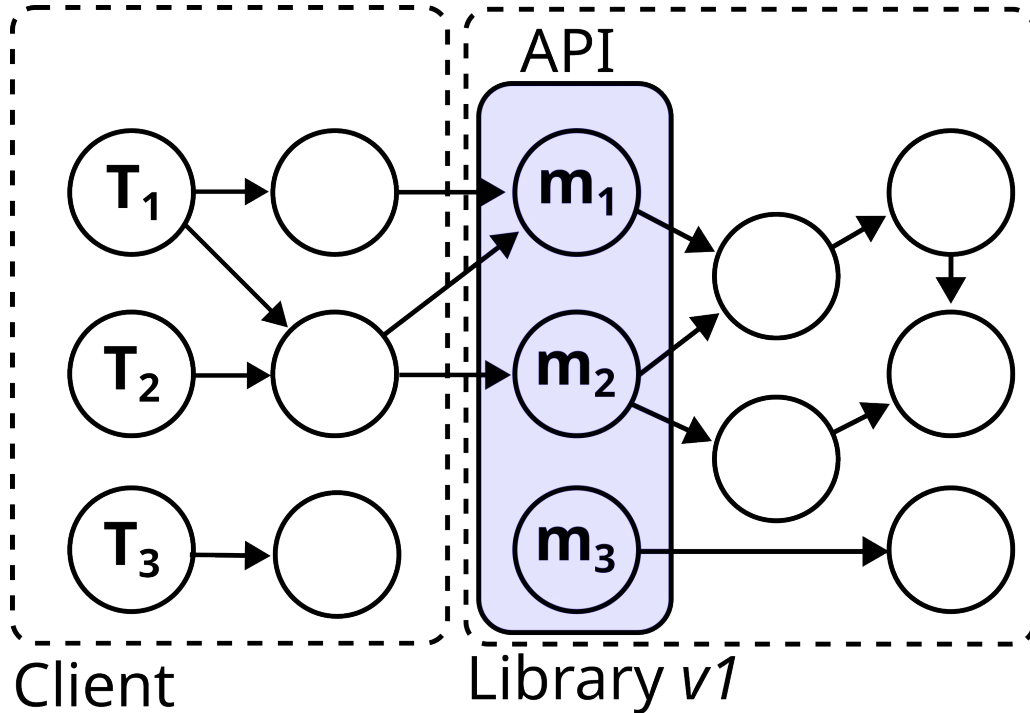
Chen, et al. "Taming behavioral backward incompatibilities via cross-project testing and analysis".
Zhang, et al. "Has My Release Disobeyed Semantic Versioning? Static Detection Based on Semantic Differencing".
Hejderup, et al. "Can we trust tests to automate dependency updates? A case study of Java Projects".
Zhu, et al. "Client-Specific Upgrade Compatibility Checking via Knowledge-Guided Discovery".

(ICSE20)
(ASE22)
(JSS22)
(TOSEM23)

API Interaction Snapshots

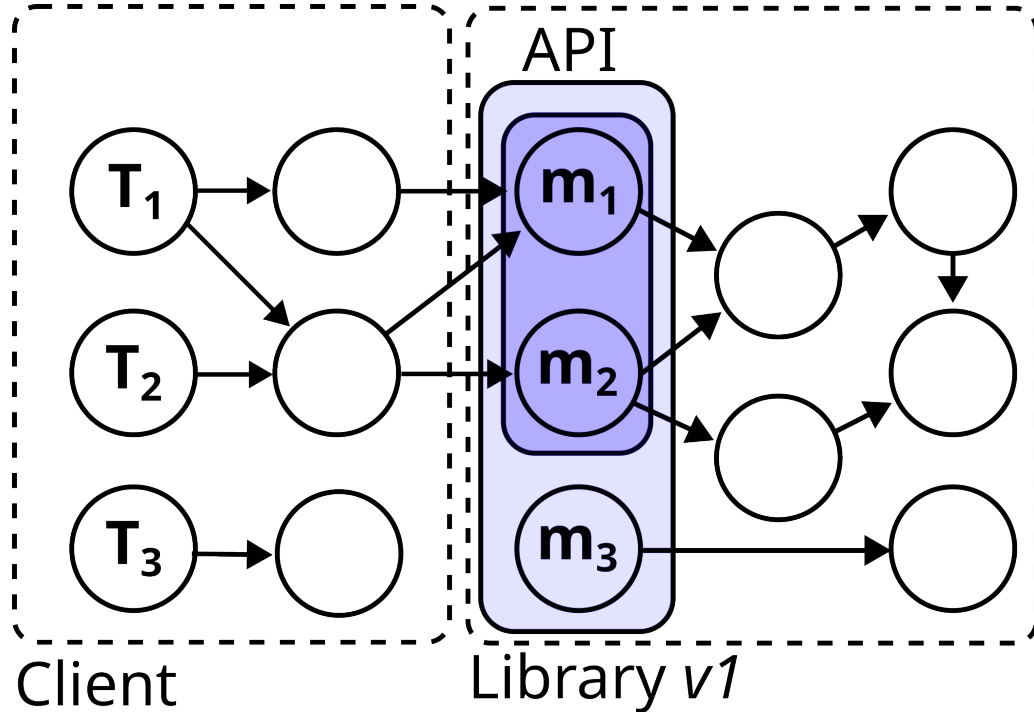


API Interaction Snapshots



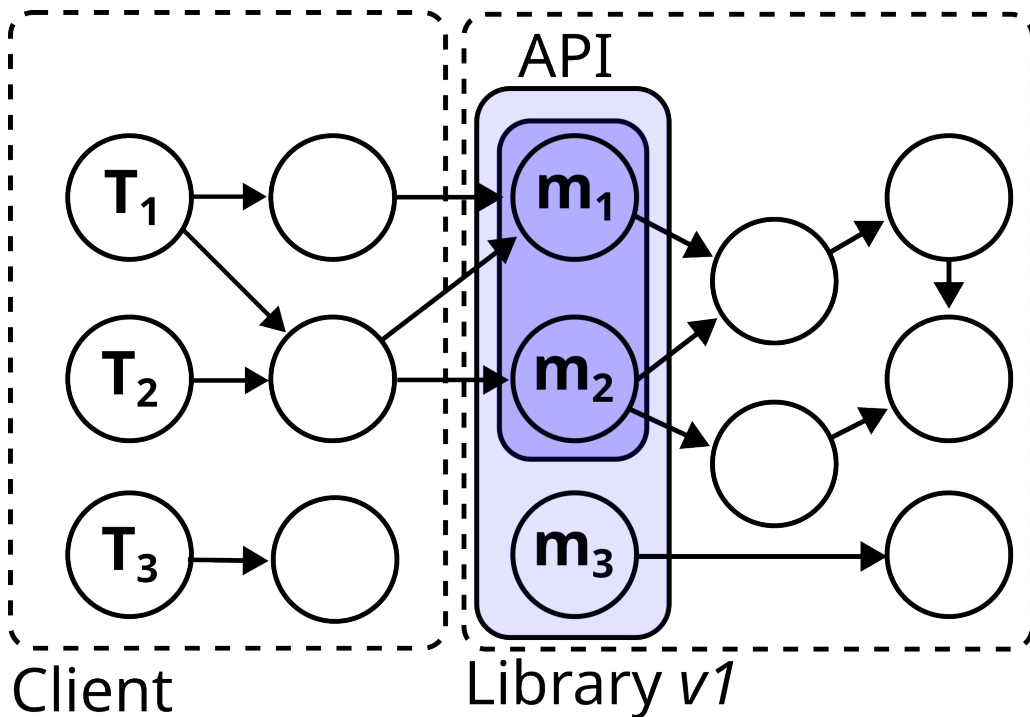
1. Identify the library's API

API Interaction Snapshots



1. Identify the library's API
2. Identify the library's footprint

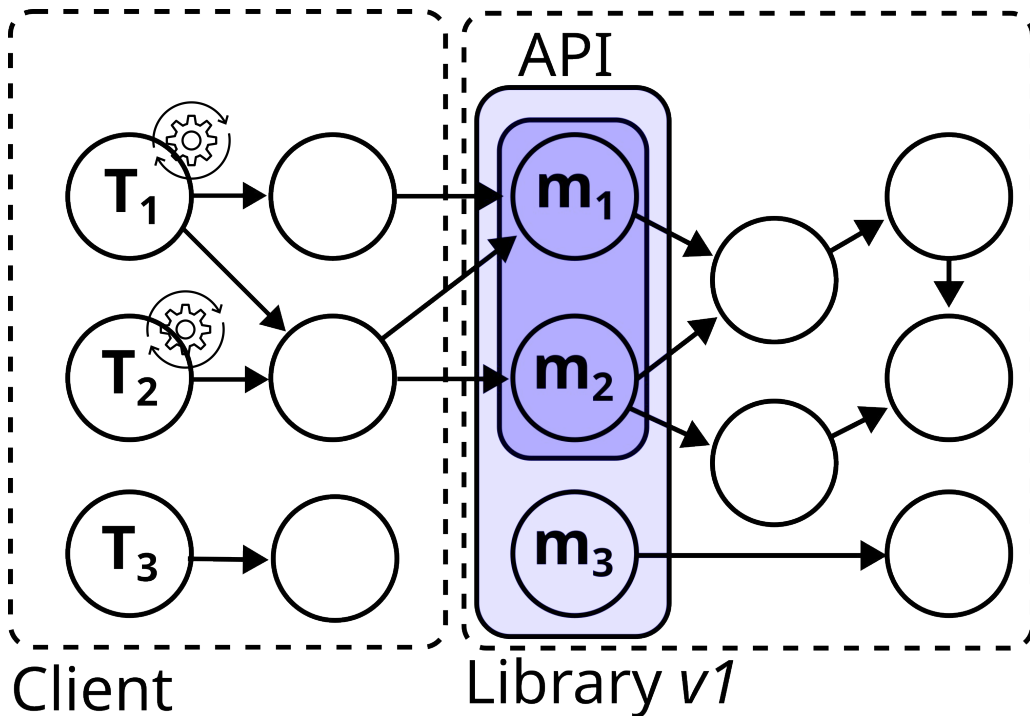
API Interaction Snapshots



1. Identify the library's API
2. Identify the library's footprint
3. Instrument the footprint with probes, recording:
 - a. Invoked method
 - b. Receiving object
 - c. In-parameter values
 - d. Out-values/exceptions

$$I_1 = \langle m_1, o_1, \langle p_1, \dots, p_n \rangle, r_1 \rangle$$

API Interaction Snapshots



$$I_1 = \langle m_1, o_1, \langle p_1, \dots, p_n \rangle, r_1 \rangle$$

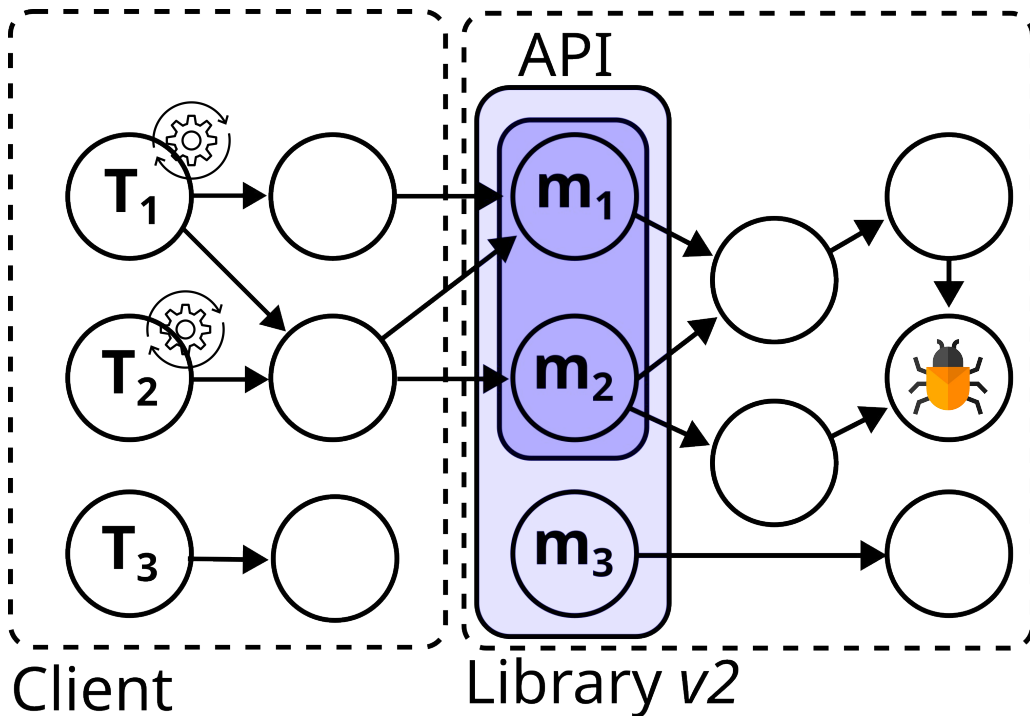
T1's Snapshot (v1)

$$I_1 = \langle m_1, o_2, \langle p_1, \dots, p_n \rangle, r_2 \rangle$$

$$I_2 = \langle m_2, o_2, \langle p_2, \dots, p_n \rangle, r_3 \rangle$$

T2's Snapshot (v1)

API Interaction Snapshots



$$I_1 = \langle m_1, o_1, \langle p_1, \dots, p_n \rangle, r_1 \rangle$$

T1's Snapshot (v2)

$$I_1 = \langle m_1, o_2, \langle p_1, \dots, p_n \rangle, r_2 \rangle$$
$$I_2 = \langle m_2, o_2, \langle p_2, \dots, p_n \rangle, r_3 \rangle$$

T2's Snapshot (v2)

Comparing API Interaction Snapshots

```
Test1_Snapshot1 = (  
  <StrTokenizer.<init>, ∅, “apple,banana”, o1>,  
  <setDelimiter, o1, “,”, ∅>,  
  <getTokenList, o1, ∅, ArrayList “apple”, “banana”>>  
)
```

An example test’s snapshot on commons-text:1.9

```
Test1_Snapshot2 = (  
  <StrTokenizer.<init>, ∅, “apple,banana”, o1>,  
  <setDelimiter, o1, “,”, ∅>,  
  <getTokenList, o1, ∅, Arrays$List “apple”, “banana”>>  
)
```

An example test’s snapshot on commons-text:1.10

- Pairwise comparison of old and new test snapshots
- Any discrepancy in
 - Protocol
 - Types
 - Exchanged values
 - etc.
- Signals a behavioral perturbation that *might* be a BBC and warrants investigation

An example BBC introduced in commons-text commit [2d1ab7](#). Identified in [TEXT-219](#) and later fixed in commit [f9846b](#).

Gilesi: API Interaction Snapshots for Java

github.com/
alien-tools/gilesi



Snapshot

```
public boolean performTask(E e) throws Exception {  
    probeEntry(this, e);  
    ...  
    probeExit(this, e, false);  
    return false;  
}
```

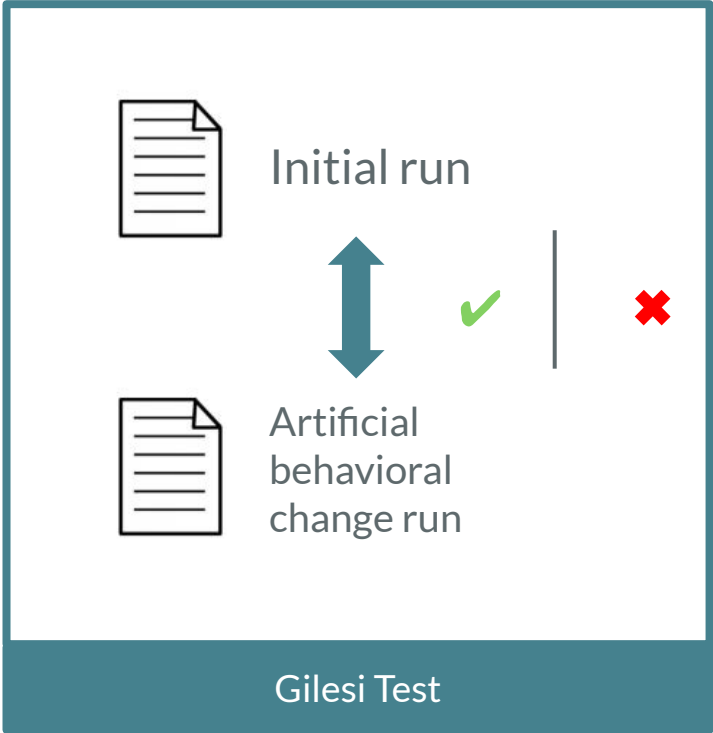
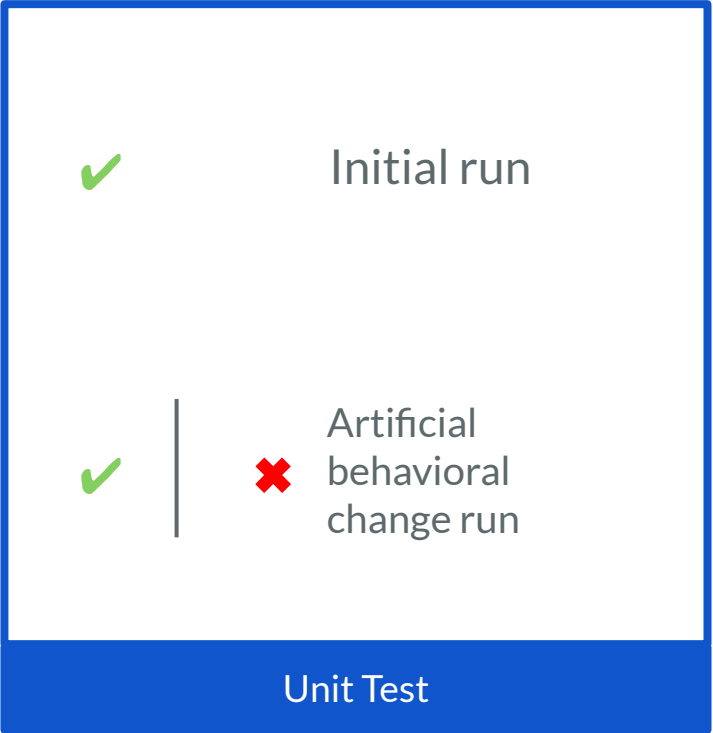


ByteBuddy-
based agent



- Stack trace
- Method/Constructor signature
- Class/Type information

Evaluation: Methodology



Evaluation: Extreme Mutation as Behavioral Breaking Change

```
public static boolean performTask() {  
    new ArrayList<Integer>();  
    lst.add(0);  
    lst.add(2);  
    lst.add(6 * 2);  
    lst.get(0);  
  
    return true;  
}
```



```
public static boolean performTask() {  
    return false;  
}
```



Evaluation: Projects & Metrics

zenodo.org/records/
16411966



	jsoup	commons-lang3
# Clients	6	21
# Mutants	52	106

Results: commons-lang3

Client	Mutants	Client kills	Gilesi kills
ch.zizka.csvcruncher-csv-cruncher	5	5	5
com.amihaiemil.devops-comdor	3	2	3
com.cognifide.aemrules-sonar-aemrules-plugin	11	11	11
com.github.bingoohuang-delayqueue	7	7	7
com.github.bingoohuang-excel2javabeans	10	10	10
com.github.cschoell-junit-dynamicsuite	4	4	4
com.github.daanvdh.javaforger-JavaForger	5	5	5
com.github.davidcarboni-restolino	5	5	5
com.github.jonpereiradev-jfile-reader	5	5	5
com.javacreed.examples-gson-typeadapter-example	1	1	1
com.json.comparison-comparison-core	1	1	1
com.omertron-API-OMDB	2	1	2
com.yanceyzhang.tools-wx-chatbot	1	1	1
de.pentabyte-springfox-enum-plugin	1	1	1
edu.anadolu-FrequencyDistributionAnalysis	3	3	3
io.prowave-chargify-webhook-java	1	1	1
org.cyclopsgroup-jmxterm	14	12	14
org.jvnet.hudson.plugins-warnings	3	3	3
org.zalando-jzon	1	1	1
org.zeroturnaround-zt-process-killer	1	0	0
com.github.jknack.handlebars	22	21	22
Total	106	100	105

Client's unit tests

Gilesi's snapshot tests

Mutation score

94.34%

99.06%

- Client tests miss even extreme mutations
- Surviving mutants are
 - i) equivalent for output
 - or ii) unobservable I/O side effects
- Realistic/subtle mutations more likely to be caught by Gilesi

Results: commons-lang3

Client	Mutants	Client kills	Gilesi kills
ch.zizka.csvcruncher-csv-cruncher	5	5	5
com.amihaiemil.devops-comdor	3	2	3
com.cognifide.aemrules-sonar-aemrules-plugin	11	11	11
com.github.bingoohuang-delayqueue	7	7	7
com.github.bingoohuang-excel2javabeans	10	10	10
com.github.cschoell-junit-dynamicsuite	4	4	4
com.github.daanvdh.javaforger-JavaForger	5	5	5
com.github.davidcarboni-restolino	5	5	5
com.github.jonpereiradev-jfile-reader	5	5	5
com.javacreed.examples-gson-typeadapter-example	1	1	1
com.json.comparison-comparison-core	1	1	1
com.omertron-API-OMDB	2	1	2
com.yancey Zhang.tools-wx-chatbot	1	1	1
de.pentabyte-springfox-enum-plugin	1	1	1
edu.anadolu-FrequencyDistributionAnalysis	3	3	3
io.prowave.charqifv-webhook-java	1	1	1
org.cyclopsgroup-jmxterm	14	12	14
org.jvnet.hudson.plugins-warnings	3	3	3
org.zalando-izon	1	1	1
org.zeroturnaround-zt-process-killer	1	0	0
com.github.jknack.handlebars	22	21	22
Total	106	100	105

- Client tests miss even extreme mutations
- Surviving mutants are
 - i) equivalent for output
 - or ii) unobservable I/O side effects
- Realistic/subtle mutations more likely to be caught by Gilesi

Client's unit tests

Gilesi's snapshot tests

Mutation score

94.34%

99.06%

Results: JSoup

Client	Mutants	Client kills	Gilesi kills
com.codeu.chatapp-chatapp	2	2	2
com.github.beothorn-webGrude	2	2	2
com.github.dhorions-boxable	7	5	7
im.nll.data-extractor	10	10	10
me.chyxion-table-to-xls	5	2	5
us.codecraft-xsoup	26	20	20
Total	52	41	46

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	Client's unit tests	Gilesi's snapshot tests
Mutation score	78.85%	88.46%

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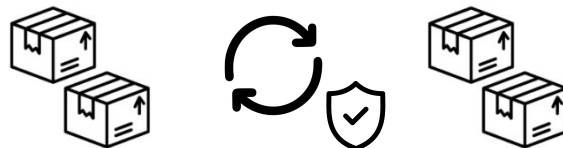
	Client's unit tests	Gilesi's snapshot tests
Mutation score	78.85%	88.46%

Gilesi

- Snapshots embody the **exact expectations** of a particular client towards a specific version of a library
- Leveraging the execution paths offered by client tests' with stronger snapshot-based assertions increases the **sensitivity** of the tests and the **observability** of behavioral changes
- Asserting directly at the API–client boundary **eases diagnosis and remediation**

✓ As a client maintainer, can I safely update my dependencies?

→ Client-Library Compatibility Testing with API Interaction Snapshots (*with Gilesi*)

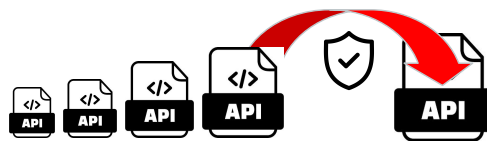


Conclusion & Perspectives

Conclusion: Problem statements

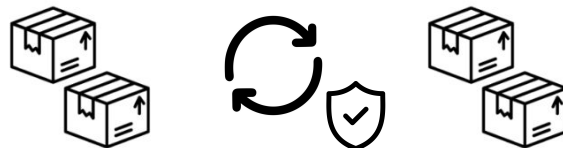
- ✓ As a library maintainer, can I safely evolve my API?

- Lightweight Syntactic API Usage Analysis *with UCov*



- ✓ As a client maintainer, can I safely update my dependencies?

- Client–Library Compatibility Testing with API Interaction Snapshots (*with Gilesi*)



Perspectives: Safe API Evolution

Beyond API Usage

- SUM as a design and validation tool (Prescriptive SUM)
 - Documentation/Sample extraction from client's uses
- Enhancing and extending existing research based on usage analysis with interaction types (e.g. *Hyrum's law*[†])
- SUM/SUFs to aid Syntactic Breaking Change detection approaches



[†] Harrand et al. "API beauty is in the eye of the clients: 2.2 million Maven dependencies reveal the spectrum of client-API usages" (JSS'22)

Perspectives: Safe dependency updates

Beyond Compatibility Checking

- Test generation/amplification for greater coverage and observability for the client
- Generating unit tests from API snapshots for the library

Conclusion

<https://github.com/alien-tools/ucov>

UCov's Git Repository

<https://zenodo.org/doi/10.5281/zenodo.10571867>

UCov's Exploratory Case Study Artifacts

<https://github.com/alien-tools/gilesi>

Gilesi's Git Repository

<https://zenodo.org/doi/10.5281/zenodo.16411966>

Gilesi's Case Study Artifacts

[Lightweight Syntactic API Usage Analysis with UCov](#)

Gustave Monce, Thomas Couturou, Yasmine Hamdaoui, Thomas Degueule, and Jean-Rémy Falleri.

In Proceedings of the 32nd IEEE/ACM International Conference on Program Comprehension (ICPC '24). Association for Computing Machinery, New York, NY, USA, 426–437.

[Client-Library Compatibility Testing with API Interaction Snapshots](#)

Gustave Monce, Thomas Degueule, Jean-Rémy Falleri, Romain Robbes.
In Proceedings of the 41st IEEE International Conference on Software Maintenance and Evolution, NIER Track (ICSME-NIER 2025). IEEE, 791-796.



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